## **Objectives**

- Paradigms of parallel hardware
- Flynn's taxonomy
- Interconnect topologies
- Cache coherence

## Paradigms of parallel hardware

As mentioned, in this course we are not concerned with the design and implementation of an interconnection network for the processors and the memory modules nor the system software.

Nonetheless, how you program a parallel algorithm does depend on the target architecture; so before we can take full advantage of parallelism, we must consider how a parallel computer operates.

It is easy to write parallel programs if you don't care about performance!

Parallel hardware and software have grown out of conventional *serial* hardware and software, so we begin with a brief review of how serial systems function.

### The classical von Neumann machine

The classical von Neumann machine is divided into a central processing unit (CPU) (also called *processor* or *core*) and main memory, connected in some manner.

The CPU is further divided into a control unit and an arithmetic-logic unit (ALU).



Main Memory

### The classical von Neumann machine

The control unit directs the execution of programs; the ALU does the calculations called for in the program.

The memory stores both instructions and data.

When being used by the program, they are stored in very fast memory locations, called registers.

Naturally the cost of memory goes up with speed, so there are relatively few registers.

Data and program instructions move between memory and registers via what is called a bus.

The von Neumann machine executes exactly one instruction at a time and typically operates on only a few pieces of data.

## The classical von Neumann machine

It is important to note that no matter how fast the CPU is, the speed of execution of programs is limited by the rate at which the (inherently sequential) instruction sequence and data between memory and CPU.

This is called the von Neumann bottleneck.

Not surprisingly, a lot of effort has gone into modifying the von Neumann machine to alleviate the bottleneck.

But before discussing these efforts, we quickly review and define a few other basic concepts from modern computer systems, namely,

1. processes,

- 2. multitasking, and
- 3. threads.

## Processes, multitasking, and threads

All computers have an *operating system* (OS), which is software to manage the hardware and software.

The OS controls which programs run and when, memory allocation, and access to peripheral devices.

To run a program, the OS creates a *process* (an *instance* of the program), consisting of

- The executable program (in machine language).
- A block of memory for the executable (and some other things).
- Descriptors of the resources that the OS has allocated to the process.
- Security information.
- Information on the state of the process.

## Processes, multitasking, and threads

Nowadays, OSs are *multitasking* (even on serial cores).

They give the appearance of simultaneous execution of multiple programs by frequently switching between processes (every few ms).

Many programs can do useful things even though parts of it are *blocked*, e.g., waiting for a resource.

Programmers can help the OS with multitasking by means of dividing their programs into (more or less) independent tasks known as *threads* such that when one is blocked, another can be run.

Threads are contained within processes and normally share most of the same resources.

So it is normally faster to switch between them than processes (they are *lightweight* compared to processes).

The process acts as a *master thread* from which other threads are spawned and terminated; the threads are said to *fork off* and *rejoin* the master thread.

## Modifying the von Neumann model

The three most common modifications of the von Neumann model in order to reduce the bottleneck are through the use of

- caching,
- virtual memory, and
- low-level parallelism.

We now examine each of these in some detail.

# Caching

Most modern machines alleviate von Neumann bottleneck by having a hierarchical memory: in between registers and main memory is an intermediate memory (faster than main memory but slower than registers) called cache.

This idea works because programs tend to access both instructions and data sequentially.

So if we store a small block of instructions and a small block of data in cache, most of the accesses will use this faster memory.

These blocks are called cache blocks or *cache lines*.

A typical cache line stores 8–16 times as much as a single memory location; e.g., 16 floating-point numbers instead of just 1.

# Caching

Taking advantage of what is in cache (i.e., minimizing *cache misses* or equivalently maximizing *cache hits*) can have a dramatic effect on program execution time.

For example, if the first 16 elements of an array are in the cache, a block of code that orders its operations such that it accesses these elements sequentially (instead of say elements 1, 17, 33, etc.) will execute 10–100 times faster.

In practice, caches are divided into *levels*.

The first level (L1) is the smallest and the fastest (and most expensive); higher-level caches (L2, L3, etc.) are successively larger and slower (and cheaper).

Information stored in caches is also stored in main memory; information in higher-level caches may or may not be stored in lower-level ones.

# Caching

There are two subtle yet potentially important issues that we now mention but do not treat in great detail.

First, there may be inconsistencies between what is stored in cache and main memory.

When something is newly written to cache, it must still be written to main memory.

Some systems write the cache values out immediately; others simply mark the data as *dirty* and only write to main memory when the whole cache line is replaced.

Second, there needs to be a mechanism to replace or *evict* cache lines.

The most commonly used scheme is based on evicting the *least recently used* data in a given cache.

### Caching: an example

The cache is controlled by hardware, but the programmer can take advantage of knowing how caches work to write more efficient code.

An important fact is that although we think about two-dimensional arrays as rectangular blocks, they are effectively stored linearly (as one-dimensional vectors).

C stores arrays in *row-major order*, i.e., the onedimensional storage vector is created by concatenating the rows of the matrix.

Thus the (1,1) element is stored next to the (1,2) element in memory, but it will generally be far away from the (2,1) element.

Fortran, on the other hand, stores arrays in *column-major order*.

This means a program that minimizes cache misses in one language will almost certainly maximize them in the other!

#### Caching: an example

To see this, we consider two methods for computing a matrix-vector product.

```
double A[MAX][MAX], x[MAX], y[MAX]
...
/* initialize A, x; set y = 0 */
...
/* Method 1 */
for (i=0; i < MAX; i++)
   for (j=0; j < MAX; j++)
     y[i] += A[i][j]*x[j];
...
/* reset y = 0 */
...
/* Method 2 */
for (j=0; j < MAX; j++)
   for (i=0; i < MAX; i++)
     y[i] += A[i][j]*x[j];
```

### Caching: an example

Suppose MAX=4 and A is stored as follows; assume x and y are always in cache.

Cache line	Elements of A		
0	A[0][0] A[0][1] A[0][2] A[0][3]		
1	A[1][0] A[1][1] A[1][2] A[1][3]		
2	A[2][0] A[2][1] A[2][2] A[2][3]		
3	A[3][0] A[3][1] A[3][2] A[3][3]		

If the cache otherwise starts off empty, both methods suffer a cache miss when trying to access A[0][0].

Method 1 scores hits on A[0][1], A[0][2], and A[0][3], and does not suffer a miss until it tries to access A[1][0].

Method 2, however, misses on A[1][0], then misses again on A[2][0], etc.

For example, with MAX = 1000, Method 1 might be a few times faster than Method 2.

## Virtual memory

Caching is great *but only if the program can fit into main memory*!

When it cannot, the main memory can be made to function as a cache for (an even larger) secondary storage through the concept of *virtual memory*.

Only the active parts of any running programs are kept in main memory; those that are idle are kept in a block of secondary storage called *swap space*.

Virtual memory operates on blocks of data and instructions called *pages*.

Access can be *hundreds of thousands of times slower* than that to main memory (and hence page sizes are large, 4–16 kB).

There are analogous concepts for *page hits* and *misses*.

Because access is so slow, updates to main memory are never written out immediately; they are flagged and written only when new pages are imported.

## Instruction-level parallelism

Instruction-level parallelism tries to reduce the von Neumann bottleneck by having multiple *functional units* simultaneously executing instructions.

There are two main approaches to instruction-level parallelism:

- *pipelining*, in which functional units are arranged in stages (or in serial), and
- *multiple issue*, in which multiple (or vector) instructions can be issued simultaneously.

Both of these strategies are used in virtually all CPUs nowadays.

The first widely used extension to the von Neumann model was pipelining.

The idea is to split the CPU into functional sub-units, and these sub-units are then organized into a pipeline.

If it is long enough, a full pipeline can produce a result for each instruction cycle, instead of requiring a number of cycles equal to the length of the instruction.

Consider the following Fortran 77 code for adding two vectors of size N = 100:

```
N = 100
DO 10 I=1,N
Z(I) = X(I) + Y(I)
10 CONTINUE
```

Suppose a single addition consists of the following sequence of operations:

- 1. Get the operands from memory.
- 2. Compare exponents.
- 3. Shift one operand.
- 4. Add.
- 5. Normalize the result.
- 6. Round the result.
- 7. Store the result in memory.

Now suppose we have functional units that perform each of these basic operations.

We can arrange them in a pipeline such that the output of one functional unit is the input for the next.

So for example while X(1) and Y(1) are being added, one of X(2) and Y(2) can be shifted, X(3) and Y(3)can have their exponents compared, etc.

When the pipeline is full, the result can be produced 7 times faster with pipelining than without.

Further improvement can be obtained if vector instructions are available.

Without vector instructions in the above example of adding two vectors of size N, the basic instructions for addition must be fetched and decoded N times.

With vector instructions, it only has to be done once<sup>1</sup>, analogous to the Fortran 90 code

Z(1:N) = X(1:N) + Y(1:N)

or the Fortran 95 code

Z = X + Y

<sup>1</sup>assuming sufficient hardware, in this case, at least N floating-point adders

The great advantages of vector processors are that they are well understood and there are extremely good compilers for them.

There are several disadvantages, however.

The key to good performance is to keep the pipeline full, and this does not work well for problems with irregular structures or many branches.

The greatest disadvantage seems to be that pipelining and vectorization do not seem to scale well; i.e., they are not amenable to *massive parallelization*.

Even if we add several pipelines and are able to keep them full, the upper limit on the speedup will be some small multiple of the CPU speed, *no matter how many CPUs are available*.

### Flynn's taxonomy

The original classification of parallel computers is known as Flynn's taxonomy<sup>2</sup>.

Flynn classified systems according to the number of instruction streams and the number of data streams.

The classical von Neumann machine has a single instruction stream and a single data stream; hence it is a single-instruction single-data (SISD) machine.

At the other extreme is the multiple-instruction multiple-data (MIMD) machine, where a number of autonomous processors operate on their own data streams. This is the most general architecture in Flynn's taxonomy.

Intermediate to these two extremes are singleinstruction multiple-data (SIMD) and multipleinstruction single-data (MISD) systems.

<sup>&</sup>lt;sup>2</sup>Michael Flynn, *Very high-speed computing systems*, Proceedings of the IEEE, **54**:1901–1909, December, 1966.

## SIMD systems

A pure SIMD system has one control unit dedicated exclusively to control a large number of subordinate ALUs, each with its own small amount of memory.

During each instruction cycle, the control processor broadcasts an instruction to all the ALUs, each of which either executes the instruction or is idle.

For example, suppose we have 3 arrays X, Y, and Z distributed such that each processor contains one element of each array, and we want to execute the following code:

```
N = 1000
D0 \ 10 \ I=1, N
IF \ (Y(I) . NE.0.0) \ THEN
Z(I) = X(I)/Y(I)
ELSE
Z(I) = X(I)
ENDIF
10 \ CONTINUE
```

#### SIMD systems

Each ALU would execute something like

- Cycle 1: Test yLocal  $\neq 0$ .
- Cycle 2:
  - If yLocal  $\neq 0$ , Z(I) = X(I)/Y(I).
  - If yLocal = 0, do nothing.
- Cycle 3:
  - If yLocal  $\neq 0$ , do nothing.
  - If yLocal = 0, Z(I) = X(I).

Note the synchronous execution of statements.

This example also illustrates the disadvantages of SIMD systems: many conditionals or long branches may cause many processes to be idle.

But SIMD is easy to program, and communication is essentially no more expensive than computation (unlike in MIMD systems).

## SIMD systems

The role of SIMD systems has morphed over time.

In the early 1990s, Thinking Machines made SIMD systems and was also the largest manufacturer of parallel supercomputers.

However, by the late 1990s, the only widely produced SIMD systems were vector processors.

Nowadays, graphics processing units (GPUs) and common CPUs use aspects of SIMD computing.

We have discussed several pertinent aspects of vector processors, including vector registers, vectorized and pipelined functional units, and vector instructions.

Due to their increasing popularity, it is worthwhile to say a few words about GPUs and general-purpose computing on GPUs (GPGPU).

## GPUs and GPGPU

GPUs are specialized chips that were traditionally designed to efficiently prepare graphics data (e.g., points, lines, triangles, etc.) for visual display.

Their special structure makes them more effective than general-purpose CPUs for certain types of calculations, particularly ones that involve independent manipulation of large amounts of data.

GPUs are not pure SIMD systems; they excel at SIMD parallelism, but they can do more.

The use of GPUs is becoming increasingly popular: as of November 2013, the top two supercomputers use GPUs to achieve their performance figures.

This strategy of GPGPU (accelerated computing) is seen as a likely path to achieve exascale performance in the near future due to its cost effectiveness in terms of manufacturing and energy consumption.

## General MIMD systems

The key difference between MIMD and SIMD systems is that the processors in MIMD systems are autonomous; i.e., each processor has its own CPU.

So, each processor executes its own program asynchronously (at its own pace).

There is generally no relationship between what each processor is doing, even when running the same code.

The processors can be forced to synchronize with each other, but this is generally inefficient.

There are two main ways in which MIMD computers can be organized:

- shared memory
- distributed memory

The common standard today is that these two ways are combined to form a hybrid third way.

## Shared-memory paradigm

Shared-memory computers have multiple processors that share access to a global memory space via a high-speed memory bus.

This global memory space allows the processors to efficiently exchange or share access to data.

The number of processors used in shared-memory architectures is usually limited because the amount of data that can be processed is limited by the bandwidth of the memory bus connecting the processors.



## Shared-memory paradigm

Most shared-memory systems use one or more *multicore* processors (multiple CPUs on one chip).

Typically, each core has its own private level-1 cache; other caches may or may not be shared between cores.

The interconnect can work in two ways. Each processor is connected directly to a block of main memory.

- 1. When processors can access all memory at the same rate, this is called *uniform memory access (UMA)*.
- 2. When processors can access their own memory fastest, this is called *nonuniform memory access* (NUMA).

UMA systems are generally easier to program because there are no issues with accessing *stale* data.

However, CPUs on NUMA systems can access their own memory faster than on UMA systems, and NUMA systems can support more memory than UMA systems.

## Distributed-memory paradigms

Distributed-memory computers are essentially a collection (or *cluster*) of serial computers (nodes) working together to solve a problem.

Each node has rapid access to its own local memory and access to the memory of other nodes via some sort of communications network, usually a high-speed communications network (or "interconnect").

Data are exchanged between nodes as messages over the network.



## Hybrid memory paradigm

Parallel computers now use a mixed shared/distributed memory architecture.

Each node typically consists of a group of 4 to 16 processors connected via local shared memory, and the multiprocessor nodes are, in turn, connected via a high-speed interconnect.

Geographically distributed computers are connected by a *grid* infrastructure and are typically *heterogeneous*.



### Interconnect topologies

The way in which nodes are connected plays a critical role in the performance of both shared- and distributed-memory systems.

Even if the processors and memory have unlimited performance, programs that are ill-suited to the precise nature of the interconnect will suffer dramatic performance hits.

Interconnect technologies have a great tdeal in common, but the impact of the differences between shared- and distributed-memory systems is significant enough to warrant a separate treatment of each.

#### **Shared-memory** interconnects

There are two common interconnects on sharedmemory systems: *buses* and *crossbars*.

A bus is simply a collection of parallel communication wires and some hardware to control access; i.e., the wires are *shared* by the devices connected to them.

Advantages: low cost and adaptable (multiple devices can be connected at low cost).

Disadvantages: *Contention* for resources as number of devices increases.

### Shared-memory interconnects

The alternative for large shared-memory interconnects is to use *switches*, mainly in the form of crossbars.

A crossbar or *matrix* switch takes the form of a set of bidirectional links from cores to memory modules.

They can be thought of as + signs with two allowed paths E-W and N-S.



#### Shared-memory interconnects

Advantages: If there are at least as many memory modules as cores, there can only be conflict between two cores attempting to access the same memory module simultaneously.

This lack of contention makes for better performance compared to buses.

Disadvantages: The cost is high compared to buses. A small bus-based system will be much less expensive than the corresponding crossbar-based system.

Distributed-memory interconnects are usually classified as *direct* and *indirect*.

As the name implies, in a direct interconnect, each switch connects a processor-memory pair, and all the switches are connected to each other.

Rings and toroidal meshes are examples of direct distributed-memory interconnects.

For example, a ring is superior to a simple bus because it allows multiple simultaneous communications.



The ideal direct interconnect is a *fully connected network*, in which each switch is directly connected to every other switch.

Unfortunately, it is impractical to construct such a network for more than a few nodes because, for p processors, not only would it require  $\binom{p}{2} = p(p-1)/2$  links, each switch would also have to be capable of connecting to p links.

The *hypercube* is a highly connected yet practical direct interconnect for distributed-memory systems.

Hypercubes are built inductively:

A 1D hypercube is two fully connected nodes.

A 2D hypercube is built from two 1D hypercubes by connecting "corresponding" switches.

A 3D hypercube is built from two 2D hypercubes by connecting "corresponding" switches, etc.

In general, a d-dimensional hypercube has  $p = 2^d$  nodes, and each switch connects a node to d switches.



Indirect interconnects are an alternative to direct interconnects.

As the name implies, the switches may not be directly connected to a processor.

Rather, they are depicted as unidirectional links from the processors to a switching network and then back to the processors.

Two simple examples of indirect interconnects are *crossbar* and *omega* networks.

We have seen the crossbar network in the context of shared-memory systems; there the links were bidirectional.

The configuration for the distributed-memory crossbar network is slightly different; now as long as two processors do not try to communicate with the same processor, any processor can communicate simultaneously with any other processor.



In an omega network, the switches are  $2 \times 2$  crossbars.

That is, the switches only connect two processors or switches to two other processors or switches.

So unlike the crossbar interconnect, there are many communications that cannot occur simultaneously in an omega network.

For example, two processors that input to the same switch cannot communicate simultaneously with two processors that receive output from the same switch.



The main advantage of omega networks is that they are relatively inexpensive compared to crossbar networks.

The omega network requires only  $1/2 p \log_2 p$  crossbar switches of size  $2 \times 2$ , i.e.,  $2p \log_2 p$  total switches, whereas the crossbar uses  $p^2$  switches.

## Latency and bandwidth

A key to the success of effective parallel programming is an understanding of how long it takes a piece of data to reach its destination.

This applies irrespective of whether we are considering data going from hard disk to main memory to cache to register or from one compute node to another.

The two metrics used to describe the performance of an(y) interconnect are the *latency* and the *bandwidth*.

The latency is the time between the beginning of the source transmission and the beginning of the destination reception.

The bandwidth is rate at which the destination receives the data.

#### Latency and bandwidth

If the latency of an interconnect is  $\ell$  s and the bandwidth is b bytes per s, then time  $\tau$  it takes to fully transmit and receive a message of size n bytes is

$$\tau = \ell + n/b.$$

Note that these terms are used differently!

Sometimes people to refer to  $\tau$  as latency.

Sometimes latency is used to describe any fixed overhead costs associated with sending messages.

For example, a message on a distributed-memory system consists of more than just the raw data; it may also contain the destination address, the message size, etc.

In this situation, latency would include the time it takes to assemble the message on the sending side and the time to disassemble the message on the receiving side.

Recall that CPU caches are controlled by the system hardware and software, not the programmer.

This might sound like a good thing, but it has implications on shared-memory systems.

For example, consider a shared-memory system with two cores (0 and 1), each with a private data cache.

Suppose x = 2 is shared, y0 is local to Core 0, and y1, z1 are local to Core 1.

If cores only *read* shared data, there is no problem.

But consider the following sequence of events:

Time	Core 0	Core 1
0	y0 = x;	y1 = 3*x;
1	x = 7;	Code not involving x
2	Code not involving x	z1 = 4*x;

It is clear that (eventually) y0 will get the value 2.

We can safely assume y1 will get the value 6.

But what about z1?

We might like to think z1 should get the value 28.

However, for this to happen, the value of x will have to be updated on Core 1 based on the change made at time 1 on Core 0.

This takes time! There is no guarantee it will be done by time 2, so z1 might get the value 8!

This is the dreaded cache coherence problem.

There are two main ways to ensure cache coherence: *snooping* and *directory-based* cache coherence.

Snooping originated in bus-based systems: when cores share a bus, they can see all the signals sent on it.

In terms of our example, basically when Core 0 updates its value of x, it *broadcasts* the fact that is has done so.

If Core 1 snoops the bus, it can know x has been updated and mark its local value as invalid.

Of course, this idea is not limited to buses; it requires only the ability of a core to broadcast to all other cores.

Unfortunately, however, broadcasts are expensive, especially in large networks; so snooping is not scalable.

Directory-based cache coherence attempts to remedy this problem by using a data structure called a directory.

The directory stores the status of each cache line.

It is typically distributed; e.g., each core/memory pair might store the part of the structure associated with its own local memory.

For example, if a line is read into the cache of Core 0, the directory entry is updated to indicate Core 0 has an updated copy of the line.

When a variable is updated, the directory is examined, and the cache controllers of the cores that have the cache line of that variable in their caches mark their lines as invalid.

This solution requires substantial additional storage, but when a cache variable is updated, only the cores storing that variable need to be contacted.

A key point is that CPU caches are implemented in hardware; they work with cache lines, not variables.

This can have disastrous effects on performance.

Consider the following program to compute a function f(i,j) and add its value to a vector y:

```
int i, j, m, n;
double y[m];
/* assume y = 0 */
for (i=0; i < m, i++)
  for (j=0; j < n, j++)
    y[i] += f(i,j);
```

We can parallelize this by distributing the outer loop among p cores, e.g., the first m/p iterations are assigned to the first core, etc.

```
/* private variables */
int i, j, iter;
/* shared variables */
int m, n, p;
double y[m];
/* assume y = 0 */
iter = m/p;
/* Core 0 does this */
for (i=0; i < iter, i++)</pre>
   for (j=0; j < n, j++)
      y[i] += f(i,j);
/* Core 1 does this */
for (i=iter; i < 2*iter, i++)
   for (j=0; j < n, j++)
      y[i] += f(i,j);
/* etc. */
```

Let p = 2, m = 8. Then if doubles are 8 bytes, cache lines are 64 bytes, and y[0] is stored at the beginning of a cache line, y can be stored in one cache line.

What happens when the cores simultaneously execute their codes?

Because y is completely contained in one cache line, every time the statement y[i] += f(i,j) is executed, the cache line is invalidated, and the other core will have to re-read the entire line before execution even though the cores are always writing to separate array entries.

In general, if n is large, the values may have to be brought into cache from main memory!

This will kill the performance of the parallel program, possibly making it even slower than serial execution.

This phenomenon is known as false sharing because the system behaves like y was being shared by the cores (when in fact it does not have to be).

The problem is not with the correctness of the results (the results will be correct); it is poor performance.

Later we will see that a simple but effective remedy is to use temporary local storage and only copy results to the shared storage at the end of the computation.

## Shared or distributed?

Given the seemingly added complication of using distributed-memory systems, it may be reasonable to ask why all MIMD systems are not shared memory.

The main reason is the scalability of interconnect cost.

Buses are cheap, but they are not efficient as the number of cores and memory modules increases.

Switching over to crossbars is expensive.

On the other hand, hypercube and toroidal interconnects are relatively cheap; systems with thousands of cores have been successfully built using these interconnects.

In practice, distributed-memory systems are often better suited to solve problems with vast amounts of data or computation.

## Summary

- Flynn's taxonomy
- Shared, distributed, and hybrid parallel architectures
- Interconnect topologies
- Cache coherence